

Regulatory Body	Document/Report
Securities and Exchange Commission	Audited Financial Statements
	General Form of Financial Statement (GFFS)
	General Information Sheet (GIS)
Insurance Commission	Certification of Negative List of Employees and Officers
	Statement of Business Operations (SBO)
	Audited Financial Statements
	Corporate Governance Manual
	Annual Corporate Governance Report
	Broker's License

# Reporting Requirements

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Regulatory Body	Document/Report
Anti-Money Laundering Council	Anti-Money Laundering Manual
	AML/CTF Compliance Questionnaire
	AMLA Certificate of Registration
	Continuing Training Program
National Privacy Commission	Appointment of Data Protection Officer
	Annual Security Incident Report
	Privacy Impact Assessment Report
	Data Privacy Manual

# Reporting Requirements

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Regulatory Body	Document/Report
Bureau of Internal Revenue	Books of Account
	Income Tax
	Value Added Tax
	Withholding Tax – Expanded, Compensation, Final and Fringe
	Registration Fee

## Reporting Requirements

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